



# University of Toronto TORONTO ONTARIO M5S 1A1

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OFFICE OF THE VICE-PRESIDENT AND PROVOST

TO: Academic Board

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AGENDA ITEM: 14(a)

## ITEM IDENTIFICATION:

Provost's Annual Report on Cases of Academic Discipline 2006-07

## JURISDICTIONAL INFORMATION:

The *Code of Behaviour on Academic Matters, 1995* requires the Provost to report annually in statistical format on cases of academic discipline to Academic Board.

## PREVIOUS ACTION TAKEN:

N.A.

## HIGHLIGHTS:

This year's report is presented in a different format from previous years. During 2007, the Judicial Affairs Office conducted a review of the way in which information on academic discipline is recorded at the local level and noted that there were a number of differences across the academic divisions. In order to improve the reliability of the data, two important changes were introduced for the purposes of this report:

### 1. Clarity of the definition of 'Reporting Year':

There were divisional differences in the way that the 'Reporting Year' was defined. For reporting purposes it was decided to use the governance year as the Reporting year, that is, from July 1<sup>st</sup> – June 30<sup>th</sup>.

### 2. Principles of inclusion:

The review identified that there were also local differences regarding the appropriate time to count a case for the statistical summary. Some divisions counted cases according to the year in which charges were laid; others counted cases by the year in

which decisions on sanction were made; and others counted cases according to the year in which a sanction was implemented on ROSI, that is, when the case was completely closed. This situation becomes even more complex if the case is referred to the Provost's Office when an even broader range of outcomes may result. Therefore for reporting purposes it was decided that the date of 'resolution' should be used for counting purposes. 'Resolution' is defined as the event which concludes the proceedings under the Code within the University.

The Judicial Affairs office has developed a new database which will further assist the collation and reporting of statistical data on cases of academic discipline. Meetings are planned with divisional representatives to further refine the process of gathering university-wide information for statistical purposes on this important issue.

The information presented in the report provides a summary of University Tribunal Cases for the period July 1<sup>st</sup> 2006-30<sup>th</sup> June 2007. During the reporting period, charges were laid in 24 new cases and 18 cases were resolved. The data in general reflect a growing trend in the number of cases of academic misconduct handled both at the divisional level and by the University Tribunal. However, it should be noted that the Report contains raw data – counts of offences and offenders – rather than normalized data and the trend is mitigated to some degree by the growth in the University's enrollment and improvements in the University's means of detecting and handling cases of academic misconduct.

Since the data for this year's report has been collected in a different format it is not possible to make direct comparisons with data from previous years. However, summary data presented in last year's report on Cases of Academic Discipline is provided for information purposes only.

The University continues to take a proactive approach to academic integrity issues. The Office of Teaching Advancement hosts both an on-campus resource centre and an Academic Integrity web site which bring together materials and resources for faculty, students and TAs ([www.utoronto.ca/academicintegrity](http://www.utoronto.ca/academicintegrity)). The OTA also runs a variety of workshops and information sessions on a range of topics related to the promotion of academic integrity. Workshops are also organized centrally to assist those responsible for administering the Code at the divisional level. These efforts are augmented by a wide variety of educational initiatives within the divisions that are designed specifically to raise awareness of the importance of academic integrity and to help promote the divisions' commitment to prevention.

#### **FINANCIAL AND/OR PLANNING IMPLICATIONS:**

N.A.

#### **RECOMMENDATION:**

Report is presented for information.

# Summary of University Tribunal Cases July 1, 2006 to June 30, 2007

## Overview of Open Cases

Cases Carried Forward (charges laid before July 1/06)	New Cases (charges laid in 2006-07)	Total Open Cases (during 2006-2007)	Cases Resolved (July 1/06 – June 30/07)	Cases Carried Forward (to 2007-2008)
25	24	49	21	28

## Number of Cases by Outcome

Outcome	2006-07
Acquittal	0
Degree Recall	0
Expulsion from University	6
Suspension	4
Number of Appeals	2
Returned to Decanal Level (Minutes of Settlement)	9

## Number of Offences by Type

Charge Code	Charge Text	2006-07
B.i.1(a)	Forgery (documents, not transcripts)	5
B.i.1(b)	Unauthorized aid	6
B.i.1(c)	Personation	0
B.i.1(d)	Plagiarism	16
B.i.1(e)	Re-submission of work	0
B.i.1(f)	Concoction	3
B.i.3(a)	Forgery (academic records)	5
B.i.3(b)	Cheating for academic advantage	6
B.i.2	Cases against Faculty	0

## Number of Offenders by Division

Division	2006-07
Applied Science & Engineering	0
Arts & Science	10
Dentistry	0
School of Graduate Studies	2
Information Studies	0
Law	0
Medicine	0
Music	0
Nursing	0
OISE/UT	0
Pharmacy	0
Physical Education & Health	0
Social Work	0
UofT at Mississauga	6
UofT at Scarborough	3

# Summary of Divisional Cases July 1, 2006 to June 30, 2007

## Number of Student Offenders by Division

Division	Total
Applied Science & Engineering	123
Arts & Science	385
Dentistry	3
Graduate Studies	23
Information Studies	0
Law	1
Medicine	0
Music	2
Nursing	8
OISE/UT	0
Pharmacy	4
Physical Education & Health	7
Social Work	0
UofT at Mississauga	118
UofT at Scarborough	107
<b>Total</b>	<b>781</b>

## Number of Offences by Type

Charge Code	Charge Text	Total
B.i.1(a)	Forgery (documents, not transcripts)	16
B.i.1(b)	Unauthorized aid	248
B.i.1(c)	Personation	0
B.i.1(d)	Plagiarism	465
B.i.1(e)	Re-submission of work	7
B.i.1(f)	Concoction	2
B.i.3(a)	Forgery (academic records)	0
B.i.3(b)	Cheating for academic advantage	43
B.i.2	Cases against Faculty	0
	<b>Total</b>	<b>781</b>

# Report on Academic Discipline

## Summary of University Tribunal Cases September 1, 1979 to August 31, 2006

Year	Trial Division	Appeals	Acquittals	Expulsions/ Degree Revocations
1979-80	17	2	3	4
1980-81	12	5	1	0
1981-82	10	4	2	0
1982-83	10	3	0	4
1983-84	6	3	0	0
1984-85	5	5	0	1
1985-86	1	0	0	0
1986-87	10	1	4	2
1987-88	2	2	0	1
1988-89	4	0	0	0
1989-90	4	1	0	0
1990-91	1	0	0	0
1991-92	10	2	0	1
1992-93	10	5	2	1
1993-94	20	1	0	1
1994-95	12	0	1	2
1995-96	9	4	1	1
1996-97	7	0	1	3
1997-98	5	1	0	1
1998-99	9	1	0	1
1999-00	11	1	0	0
2000-01	4	1	1	1
2001-02	8	0	0	1
2002-03	2	0	0	0
2003-04	2	0	0	1
2004-05	13	1	0	2
2005-06	11	2	0	6

# Report on Academic Discipline

## Summary of University Tribunal Cases Student Offenders by Academic Division

Academic Division	99/00	00/01	01/02	02/03	03/04	04/05	05/06
Applied Science & Engineering	3	0	1	0	0	3	0
Arts & Science	3	1	2	0	2	1	1
Dentistry	1	0	0	0	0	0	0
Graduate Studies	0	0	0	0	0	3	1
Law	0	0	1	0	0	0	0
Medicine	0	0	0	0	0	0	0
Music	0	0	0	0	0	0	0
Nursing	0	0	0	0	0	0	0
OISE/UT	0	0	0	0	0	0	0
Pharmacy	0	0	0	0	0	0	0
Physical Education & Health	0	0	0	0	0	0	0
UTM	0	0	1	0	0	4	6
UTSC	4	3	3	2	0	2	3
Totals	11	4	8	2	2	13	11

# Report on Academic Discipline

## Summary of University Tribunal Cases Offences by Type

Academic Division	99/00	00/01	01/02	02/03	03/04	04/05	05/06
Forgery, Falsification not transcripts B.I.1.a	2	2	0	1	5	2	0
Unauthorized Aid also collaboration B.I.1.b	4	0	3	0	1	5	9
Personation B.I.1.c	0	3	3	0	0	0	0
Plagiarism B.I.1.d	4	2	1	1	1	9	13
Essay Service (type of plagiarism)	0	0	0	0	0	0	2
Re-submitted work B.I.1.e	0	0	0	0	0	0	0
Concoction B.I.1.f	0	0	1	0	0	1	3
Forgery, Falsification transcripts, records B.I.3.a	0	4	11	0	0	2	4
Cheating, Dishonesty B.I.2.b	5	0	1	0	5	4	2
Cases against Faculty B.I.3	0	0	0	0	0	0	0
Totals offences <sup>†</sup> , not offenders	15	11	20	2	12	23	33
Totals offenders <sup>‡</sup> , not offences	11	4	8	2	2	13	11

### Notes:

<sup>†</sup> The number of offences above counts the number of offences proved by the Trial Division of the University Tribunal.

<sup>‡</sup> The number of offenders above counts the number of individuals found by the Trial Division of the University Tribunal to have committed an offence (or offences).

# Report on Academic Discipline

## Summary of Divisional Cases Student Offenders by Academic Division

Academic Division	99/00	00/01	01/02	02/03	03/04	04/05	05/06
Applied Science & Engineering	10	14	18	9	20	55	152
Arts & Science	125	185	240	236	222	252	515 <sup>†</sup>
Dentistry	0	5	1	2	0	2	1
Graduate Studies	19	16	8	13	19	17	16
Information Studies	7	7	0	0	0	4	4
Law	0	25	0	0	0	0	0
Medicine	0	1	0	0	0	0	0
Music	0	0	0	2	2	0	4
Nursing	0	0	0	1	5	6	8
OISE/UT	0	0	1	0	0	1	0
Pharmacy	1	0	0	10	5	0	1
Physical Education & Health	1	0	0	0	3	0	0
Social Work	0	2	0	0	0	1	1
UTM	93	88	130	85	127	93	111
UTSC	96	60	89	83	100	85	79
Totals	345	394	487	441	503	516	892

### Notes:

<sup>†</sup> This number includes 115 offenders guilty of similar offences committed across three courses.



# Report on Academic Discipline

## Summary of Divisional Cases Offences by Type

Academic Division	99/00	00/01	01/02	02/03	03/04	04/05	05/06
Forgery, Falsification not transcripts B.I.1.a	3	3	8	6	5	14	25
Unauthorized Aid also collaboration B.I.1.b	173	178	203	175	163	217	447
Personation B.I.1.c	0†	4	4	3	0	0	2
Plagiarism B.I.1.d	159	169	255	232	300	308	403
Essay Service type of plagiarism	1	0	0	1	0	3	2
Re-submitted work B.I.1.e	1	6	2	6	11	3	3
Concoction B.I.1.f	-‡	-	-	-	-	0	0
Forgery, Falsification transcripts, records B.I.2.a	5	30	9	9	16	0	0
Cheating, Dishonesty B.I.2.b	-	-	-	-	-	11	14
Cases against Faculty B.I.3	-	-	-	-	-	0	1
Other	3	4	6	9	8	-	-
Totals	345	394	487	441	503	516	893

### Notes:

† A '0' indicates that no offences of the type in question were reported.

‡ A '-' indicates that the type of offence in question was not reported in that year under its present description; relevant offences in such years are captured under different descriptions.