



# University of Toronto

OFFICE OF THE VICE-PRESIDENT, RESEARCH AND ASSOCIATE PROVOST

**TO:** AP&P  
**SPONSOR:** John R. G. Challis, Vice-President, Research and Associate Provost  
**DATE:** March 7<sup>th</sup>, 2007  
**AGENDA ITEM:** 4(a)

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**ITEM IDENTIFICATION:**

**Policy on Research Agreements and the Recovery of Indirect Costs**

**JURISDICTIONAL INFORMATION:**

The Committee on Academic Policy and Programs reviews research policies on behalf of the Academic Board. The Academic Board is required by its terms of reference to consider research policies and forward recommendations to the Governing Council.

**PREVIOUS ACTION TAKEN:** N/A

**HIGHLIGHTS:**

The U. of T. *Policy on Research Agreements and the Recovery of Indirect Costs* (formerly the *Policy on Research Contracts and the Recovery of Indirect Costs of Research*, 1999) defines key terms associated with funded research activity, sets out the steps for institutional review and approval of research agreements with sponsoring agencies, outlines the responsibilities of principal investigators and sets, through an appendix, indirect cost recovery rates for various categories of sponsor.

Proposed changes to the Policy reflect the shift toward recovery of indirect costs on grants as well as contracts. The changes are necessitated at this time by the introduction of the University's new budget model, effective May 2007, through which all overhead revenue will flow to the academic division of the principal investigator. This update also provides an opportunity to recognize the Vice-President's new title of "Research and Associate Provost".

**Application:** The Policy applies to all University employees who enter into funded research agreements with outside entities.

**Effect on Existing Policies:** The thrust of the Policy is unchanged and these changes do not have an impact on other existing policies.

**FINANCIAL AND/OR PLANNING IMPLICATIONS:** N/A

**RECOMMENDATION:**

That the revised Policy on Research Agreements and the Recovery of Indirect Costs be approved.