PUBLICATION POLICY

Since the dissemination of knowledge is one of the primary functions of the University, university research should be such that the results may be freely published <u>or otherwise promptly disseminated</u>. <u>Publication can take a number of forms and occur in a variety of media, both in print and electronic, including academic journals and conferences, dissemination through websites, and public discussion among colleagues. The policy of the University of Toronto with respect to publication is as follows:</u>

Results of research undertaken in the University shall be fully publishable with the following qualifications:

- (a)<u>1.</u> Where the<u>a</u> sponsor has <u>industrial or commercial intellectual property</u> rights <u>arising from a research project</u> <u>for</u> which it wishes to <u>obtain statutory</u> protect<u>ion arising out of the research project</u>, or where the sponsor wishes to be given an opportunity to publish the results of the research before publication by the <u>University</u>, time for such protection or publication may be given, an agreement with the sponsor may provide for a short delay for protection, provided that:
 - 1.(a)the University shall, in any event be free to publish after 12 months
normally, no delay will exceed
90 days from the date of submission of the final report
manuscript or presentation to the S
sponsor,
 - 2.(b) if there is any change in the Sponsor's publication from the original report, the name of the University shall not be used in connection with the publication without the written consent of the University, in no event will any delay exceed 6 months from the date of submission of the manuscript or presentation to the sponsor; and.
 - 3.(c) publication of <u>athe</u> thesis <u>byof</u> a graduate student, in the sense in which this is defined in <u>will not</u> be delayed, <u>unless permitted by</u> the regulations of the School of Graduate Studies, <u>shall not be</u> delayed by such restriction.
- (b)2. Where by the terms of a contract or grant, the Sponsor agrees to provide data essential to the research, which, at the time it is provided, is labelled "Confidential Data", and where the Chairman of the Department in which the research is being conducted considers the research of such importance to the Department that the general rules with respect to publication should be partially waived, the University will be prepared to accept such grant or contract and observe such confidential data. The University may agree to receive information identified as "confidential" or "proprietary" from a sponsor if such information is essential to facilitate performance of a research project and maintaining such information in confidence would not preclude the publication of University research results.
- 3. If a sponsor is given the right to publish University research results and there is any change in the sponsor's publication from the original report, the names of the University and the report's authors shall not be used in connection with the sponsor's publication without the written consent of the University and the authors.
- 4. No agreement with a sponsor for research involving human subjects may preclude the disclosure of research results to study subjects and/or their lawful representatives, study steering committee, relevant research ethics boards at the site and at other participating study sites, and regulators, if and when the investigator, University and/or research ethics board deem disclosure necessary to protect the health of study participants, or where disclosure is necessary to obtain and maintain informed consent.
- 5. In this Policy, the term "research" is broadly defined and is intended to include all forms of research and scholarship, whether or not grant supported. This Policy applies to all members of the University
- (c)6. In consultation with the office of the Vice-President, Research and Associate Provost and subject to the provisions of this Policy, divisions may introduce guidelines as appropriate to their particular research

circumstances and the norms of their disciplines. In exceptional extraordinary circumstances, the Research Board of the University Vice-President, Research and Associate Provost or his/her designate may authorize the withholding of publications for a period longer than 12 months from the submission of the final report to the Sponsor, but in no case shall publications be delayed longer than 24 months from the submission of the final report to the Sponsor to this Policy if such exception does not result in censorship of University research results.

Approved by the Governing Council, February 27th, 1975